Byrne Asset Management LLC

Overview of Byrne Asset Management

Byrne Asset Management LLC (BAM) is an SEC registered investment advisor based in Kingston, New Jersey. Formed in 1999, we manage customized stock, bond and balanced portfolios for individuals and institutional clients.

We provide institutional level acumen and expertise to the benefit of every client. Our combined backgrounds include high-level involvement with several large institutional investors. We apply sophisticated tools and accumulated knowledge to the portfolios of all our clients, large and small.

401K Plan Overview

BAM has partnered up with key respected industry leaders including human resource management company ADP for record keeping and TD Ameritrade, one of the top ranked brokerages, well regarded for its technology platform and top-rated customer service.

Byrne Asset Management LLC

- Risk assessment upon enrollment or conversion
- Investment counsel upon request
- Continuous education for employees
- Free financial planning
- Simple, effective investment selection process
- Multiple investment platform options

ADP

- HR/administrative support - online and by phone
- Participant support – online and by phone
- Online access to all forms and information
- Seamless transfer of data from payroll to plan
- Full suite of reports, including IRA Form 5500
- Ability to coordinate with outside TPAs

Investment Platform Options

Model Portfolios	Managed Brokerage Account	Self-Directed Mutual Funds	Self-Directed Brokerage Account
The easiest and arguably most effective route, participants can choose one of the six risk-based portfolio models. BAM advisors can help assess one's risk profile, so the appropriate model is chosen.	Participants can have BAM manage portfolios of stocks, bonds, and ETFs in a brokerage account. Participants must meet with a BAM advisor and sign a separate agreement before such an account can be opened.	Participants can allocate their assets to any mutual funds on the platform. The platform includes low-cost funds based on industry, capitalization, asset type and target-dates. BAM advisors can help with the selections.	Participants can open brokerage accounts at TD Ameritrade and buy stocks, bonds, ETFs, and other securities at will. A one-time fee is charged. Though self-directed, participants can ask BAM advisors for help anytime.

Additional Complementary Services

- Full review of existing portfolio of investments, including assets held outside of the client's 401K.
- Certified Financial Planner ™ professional to help Client's develop a comprehensive understanding of their financial position and help develop financial goals and a plan for achieving those goals.
- Assistance in establishing accounts for the next generation including 529 College Savings Accounts and trust accounts for minors (UGMA).

Our Team

Tom Byrne
Chief Executive Officer
BA – Princeton University
JD – Fordham Law School

- Founded Byrne Asset Management in 1999
- Former Chairman, New Jersey Investment Council overseeing $80 billion in assets
- Trustee and Treasurer, The Fund for New Jersey
- Staff Member, Presidential Task Force on Market Mechanisms (1987-1988)

Art Ernst, CFP®
Head of Fixed Income Portfolio Management
BA – Rutgers University
MBA – Wharton Graduate School of Business

- Previously managed in excess of $1billion in fixed income portfolios while at First Investors, the Robert Wood Johnson Foundation and Merrill Lynch
- Extensive fixed income breadth includes management of investment grade and high yield corporates, treasuries, agencies, convertibles, and MBS

Jason Rapp
Head of Equity Portfolio Management
BA – The University of Chicago
MBA – Harvard Business School

- Portfolio Manager, MD Sass, managed $2 billion for Institutional Clients and Wealthy Families
- Senior Equity Analyst, OSS Capital, a $2.5 billion in long/short hedge fund
- Investment Banker and Private Equity Investor at PJ Solomon, Lazard and Gleacher Partners

Brian Arena
Chief Institutional Specialist
BA – Rutgers University - CCAS
MS – Drexel University

- Investment Officer, State of New Jersey, Division of Investment – oversaw $80 billion in assets
- Managed the $23 billion NJ US Equity Portfolio
- Managed the NJ Small Stock Portfolio from 1994-2006

Mike Chen
Equity Analyst
BA – Princeton University

- Analyst, Michael D. Witter, Harvest Fund Management, Jane Street Capital and Guerilla Capital Management

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